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# Full Length Article Impact of battery cell imbalance on electric vehicle range<sup>★</sup>



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### HIGHLIGHTS

## G R A P H I C A L A B S T R A C T

- A simulation model to assess electric vehicles range reduction due to cell-to-cell variation is constructed.
- A statistical analysis on electric vehicles range reduction for different variation configurations is performed.
- Nonlinear range reduction due to cell capacity variations is found.

# ARTICLE INFO

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# ABSTRACT

Due to manufacturing variation, battery cells often possess heterogeneous characteristics, leading to battery stateof-charge variation in real-time. Since the lowest cell state-of-charge determines the useful life of battery pack, such variation can negatively impact the battery performance and electric vehicles range. Existing research has been focused on control design to mitigate cell imbalance. However, it is yet unclear how much impacts the cell imbalance can have on electric vehicle range. This paper closes this knowledge gap by using a simulation environment consisting of real-world driving speed data, vehicle longitudinal control, propulsion and vehicle dynamics, and cell level battery modeling. In particular, each battery cell is modeled as an equivalent circuit model, and variations among cell parameters are introduced to assess their impact on electric vehicles range and to identify the most influential parameter variations. Simulation results and analysis can be used to assist balancing control design and to benchmark control performance.

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## 1. Introduction

Electric vehicles (EVs), which are projected to make up 31% of the global fleet by 2050 [1], have been considered to be a promising way to combat climate change, reducing over 3000 kg carbon dioxide emission per vehicle per year [2]. In the meanwhile, highly efficient battery systems have been considered as key enablers to EVs and stationary smart grid applications [3-7]. EV battery pack usually consists of hundreds of cells, each of which can have different dynamic characteristics due to manufacturing and operation variations, leading to state-of-charge imbalance among battery cells [8-10]. Since the weakest cell limits the useable capacity of the whole battery pack, such state-of-charge imbalance would result in reduced EV range over single charge as well as life cycle, and can lead to safety issue such as thermal runaway [11-14]. To alleviate battery cell imbalance, active cell balancing control using a balancing circuit such as flyback DC/DC converter and half-bridge converter have been proposed in literature [12,15–17], which can be either dissipative or nondissipative. Correspondingly, various control methods have been proposed to conduct the active cell balancing control [15–20], ranging from rule-based control [21], simple feedback control [22], to advanced control like model predictive control (MPC) [13,23-25].

However, although the active battery balancing control has drawn extensive attentions and the aforementioned research demonstrate promising results on mitigating cell imbalance, it is yet unclear how the cell imbalance impacts EV range. In other words, a formal and numerical quantification on EV range reduction that is attributed to cell imbalance has not been reported in literature, and is much needed. Without this knowledge, most of existing research endeavors assume certain variations among all cell parameters [11,13,25], which can lead to unrealistic simulation assumption and increase control complexity unnecessarily. Furthermore, as there is no formal quantification on the range reduction due to cell imbalance, there is no benchmark to evaluate the control algorithms that aim at mitigating cell imbalance. Therefore, the performance of the aforementioned balancing controllers becomes obsolete.

To address this limitation, a simulation model is constructed that includes a speed controller to command battery power based on the actual and target vehicle speeds. Furthermore, battery cell dynamics are modeled using equivalent circuit model (ECM) with heterogeneous parameters. Finally, an EV propulsion model and a quarter car longitudinal model are used to simulate the vehicle behavior of a passenger EV car. Thorough analysis based on this simulation model are then conducted to understand the impact of battery cell imbalance on EV range. In particular, a total number of 125 trips are simulated based on real-world vehicle speed measurement data, which is available at National Renewable Energy Laboratory's Transportation Secure Data Center [26,27]. For each trip, different variation levels of cell parameters are introduced to calculate the corresponding range reduction. Statistical analysis is then performed to identify the impact of each parameter variation on the EV range. Such analysis can be beneficial for the control design and benchmarking. For example, several parameters are found to have no statistical influence on average EV range, and therefore it is not necessary for balancing control algorithm to explicitly estimate those parameters. Compared to relevant literature on battery inconsistency, such as modeling, estimation, and diagnosis [28-32], our work is different as we focus on evaluating the impact of battery cell variations in the context of EV ranges. As a full EV propulsion system is modeled and utilized, the propagation of the impact of cell level variation is explicitly evaluated and quantified.

The rest of this paper is organized as follows. Section 2 discusses the equivalent circuit model for each cell and the whole battery pack, while Section 3 presents the problem formulation with the main research question. Section 4 provides details on the simulation model used for numerical studies, and Section 5 presents simulation results and discusses the main findings. The paper is concluded in Section 6.

#### 2. Battery dynamics

The dynamic of a battery cell can be modeled as an equivalent circuit model (ECM) [33–38], as shown in Fig. 1, where  $V_{oc}$  is the open circuit voltage, v is the terminal voltage, and *i* is current drawn from the cell with the convention that positive value of *i* indicates discharging from the cell and negative value indicates charging. Denote *s* as the cell SOC,  $V_1$  and  $V_2$  as the relaxation voltages over the two capacitors capturing fast and slow dynamics respectively. The dynamics of ECM are then specified by Ref. [38].

$$\dot{s} = -\eta \frac{i}{3600 \times C} \tag{1a}$$

$$\dot{V}_1 = -\frac{V_1}{R_1 C_1} + \frac{i}{C_1}$$
(1b)

$$\dot{V}_2 = -\frac{V_2}{R_2C_2} + \frac{i}{C_2}$$
 (1c)

$$\dot{T}_{c} = \frac{Q}{C_{p,c}} + \frac{T_{s} - T_{c}}{R_{c}C_{p,c}}$$
 (1d)

$$\dot{T}_{s} = \frac{T_{c} - T_{s}}{R_{c}C_{p,s}} + \frac{T_{f} - T_{s}}{R_{u}C_{p,s}}$$
(1e)

$$y = V_{oc} - V_1 - V_2 - iR_o.$$
(1f)

Note that in (1),  $\eta$  is the coulombic efficiency, *C* is the cell capacity with unit of Amp-Hour,  $T_c$  and  $T_s$  are the cell core and surface temperature respectively,  $T_f$  is the coolant flow temperature, Cp,c and Cp,s are heat capacities of the battery core and surface respectively,  $R_c$  is the conduction resistance between  $T_c$  and  $T_s$  while  $R_u$  is the convection resistance between  $T_f$  and  $T_s$ . Finally, *Q* is the heat generation defined by

$$Q = i(V_{oc} - y) - I \frac{T_s + T_c}{2} \frac{\mathrm{d}V_{oc}}{\mathrm{d}T}.$$

Note that  $V_{oc}$ ,  $R_o$ ,  $R_1$ ,  $R_2$ ,  $C_1$  and  $C_2$  are all dependent on SOC *s* and temperatures  $T_s$  and  $T_c$ , where this nonlinear dependency can be denoted as, for  $\sigma = \{V_{oc}, R_o, R_1, R_1, C_1, C_2\}$ ,

$$\sigma = f_{\sigma}(s, T_c, T_s). \tag{2}$$

Therefore (1) is a nonlinear model. In this paper, we adopt the parameters in Ref. [38] for model (1)–(2) for a nominal battery cell.

Now consider an EV battery system as shown in Fig. 2, where N cells are connected in series to provide current I as requested by EV propulsion system. Due to cell variations, the SOC among cells can be significantly different, even if they are initialized all the same SOC level. To address this issue, a balancing controller can be used to draw current from cells with higher SOC to charge cells with lower SOC. Such



Fig. 1. Equivalent circuit model of a battery cell.



Fig. 2. Structure of series connected battery cell and balancing current [25].

balancing currents is denoted as  $u^n$  for the  $n^{\text{th}}$  cell. Denote cell current and terminal voltage for  $n^{\text{th}}$  cell as  $i^n$  and  $v^n$ , respectively, and denote the voltage and power of the battery pack as  $v_b$  and  $P_b$ , respectively. Then it is trivial to see that

$$i^n = I + u^n \tag{3a}$$

$$v_b = \sum_{n=1}^N v^n \tag{3b}$$

$$P_b = I v_b. \tag{3c}$$

## 3. Problem statement

Due to manufacturing variations and/or aging condition variations, the parameters for (1)–(2) can be significantly different for each cell. Particularly we consider variations of parameters  $R_o$ ,  $R_1$ ,  $R_2$ ,  $C_1$ ,  $C_2$  and C. For  $n^{\text{th}}$  cell, we model the cell variation as follows. For each parameter  $\sigma \in \{R_o, R_1, R_2, C_1, C_2, C\}$ ,

$$\sigma^{n} = \begin{cases} (1+\phi_{\sigma}^{n})f_{\sigma}(s^{n}, T_{c}^{n}, T_{f}^{n}) & \text{if } \sigma \in \{R_{o}, R_{1}, R_{2}, C_{1}, C_{2}\}, \\ (1+\phi_{\sigma}^{n})C_{0} & \text{if } \sigma = C, \end{cases}$$
(4)

where  $C_0$  and  $f_{\sigma}$  are the nominal values adopted from Ref. [38]. Now consider an EV battery system as shown in Fig. 2, where the parameter variations for each cell is modeled by (4). We define the variation level  $\phi_{\sigma}$  for  $\sigma \in \{R_0, R_1, R_2, C_1, C_2, C\}$  as the standard deviation of  $\phi_{\sigma}^n$ , i.e.,

$$\phi_{\sigma} = \sqrt{\frac{1}{N} \sum_{n=1}^{N} \left(\phi_{\sigma}^{n} - \mu_{\sigma}\right)^{2}},\tag{5}$$

where  $\mu_{\sigma}$  is the mean of  $\phi_{\sigma}^{n}$ , i.e.,

$$\mu_{\sigma} = \frac{1}{N} \sum_{n=1}^{N} \phi_{\sigma}^{n}.$$
 (6)

Note that  $\phi_{\sigma}^{n}$  in (4) is the deviation of parameters from their nominal values. Therefore,  $\mu_{\sigma}$  denotes the mean of the parameters deviation from their nominal values.

The research question we intend to answer is, how much impacts the cell variations can have on EV range. Specifically.

- 1. Which parameter among *R*<sub>o</sub>, *R*<sub>1</sub>, *R*<sub>2</sub>, *C*<sub>1</sub>, *C*<sub>2</sub> and *C* has largest influence on EV range? and
- 2. How is the EV range impacted by the variation level  $\phi_{\sigma}$ ,  $\sigma \in \{R_o, R_1, R_2, C_1, C_2, C\}$ ?

To answer these research questions, we conducted simulations using battery model (1), (2), (3) and (4), together with an EV model. In the next section, we will introduce the simulation environment in greater details.

### 4. Simulation model

Diagram of the simulation model is shown in Fig. 3. In particular, a reference speed profile  $v_{ref}$  is used as input to a speed controller, which requests a battery power  $P_b^r$  from the battery pack. Upon receiving this command, the battery management system (BMS) calculates, based on the latest battery and cell states, the pack current *I* and balancing current  $u^n$  for n = 1, ..., N. The battery dynamic model simulates (1)–(4) and then outputs the actual battery power  $P_b$  to drive the vehicle.

Note that in Fig. 3, all control algorithm blocks are marked in light blue while physical dynamic blocks are marked in light orange. In the rest of this session, we discuss the reference speed profile, speed controller, and propulsion & vehicle dynamics blocks in more details.

## 4.1. Reference speed data

Vehicle speed dataset<sup>1</sup> provided by The Transportation Secure Data Center (TSDC) at National Renewable Energy Laboratory [26,27] was used to generate reference speed data for simulation purpose. The dataset includes global positioning system (GPS) readings and the length of trip varies from several minutes to hours. To have longer trips for simulation purpose, short trips are concatenated together to create longer trip so that the travel distance of each trip is longer than the range of EV on a single charge.

In this work, a total number of 125 trips are generated. Snapshots of 1 h of speed reference data are shown in Fig. 4 for Dataset #5 (top of Fig. 4) and #6 (bottom of Fig. 4), showing that both dynamic and steady state maneuvers are present in the dataset. Note that Dataset #5 and #6 are randomly selected for illustrative purpose. Top half of Fig. 5 plots the average trip speed for each dataset, where the average speed varies from slow (around 50 km/h) to extremely fast (around 150 km/h). Note that the bottom half of Fig. 5 will be discussed shortly in Section 5.

#### 4.2. Speed controller

A PI speed controller is utilized to track the reference speed, i.e.,

$$e_{v}(t) = v_{ref}(t) - v_{x}(t)$$
(7a)

$$P_{b}^{r}(t) = K_{p}e_{v}(t) + K_{i}\int_{0}^{t} e_{v}(\tau)d\tau,$$
(7b)

where  $e_v(t)$  is the instantaneous speed tracking error. The output of this speed controller is the requested power  $P_b^r$ , which is then fed into BMS and battery dynamic model (1)–(4) to produce actual battery power. To reduce the influence of speed controller, the control gains are fixed for all simulations. Table 1 includes controller gain for PI controller (7), and snapshots of 1 h of requested battery power are shown in Fig. 6 for Dataset #5 (Top) and #6 (Bottom), corresponding to the reference speed shown in Fig. 4.

### 4.3. Propulsion and vehicle dynamics

To reduce simulation time, a quarter car model is used to simulate the vehicle dynamic. As lateral dynamic is not considered in this study, a quarter car model is found to be sufficient to evaluate EV energy consumption [39]. This section briefly introduces the model, and more details can be found in Refs. [39–41]. The longitudinal dynamics of a quarter car model can be represented as follow,

$$\dot{v}_x = \frac{n_w}{m} F_x - g \sin \sigma_b - \frac{1}{m} F_a \tag{8a}$$

<sup>&</sup>lt;sup>1</sup> Available at: https://www.nrel.gov/transportation/securetransportationdata/. Accessed Sep. 15, 2021.



Fig. 3. Diagram of the simulation model.



Fig. 4. Snapshot of the reference speed data from Dataset #5 (top) and #6 (bottom).



Fig. 5. Average trip speed (top) and total EV range (bottom) for each dataset when all cells are identical (i.e., 0 variation level for all parameters).

$$\dot{\omega} = \frac{1}{I_w} \left( \frac{T}{n_w} - F_x R \right),\tag{8b}$$

where *m* is the mass of the vehicle,  $n_w$  is the number of driving wheels, which equals 2 for front/rear driving vehicle and 4 for all wheel drive configuration,  $\sigma_b$  is the road bank angle,  $I_w$  is the wheel rotational inertial, *T* is the total driving torque (as applied to all driving wheels) and  $F_x$  is the total tire force, as computed by the following Magic formula [42].

$$F_x = F_z D\sin\{C \arctan[Bs_r - E(Bs_r - \arctan(Bx))]\},$$
(9)

with the parameters B, C, D, E given as 10, 1.9, 1 and 0.97. Note that  $F_z$  is normal force and  $s_r$  is slip ratio defined as

## Table 1

Parameters for the simulation models. Partiallydopted from Ref. [39].

Parameter [Unit]	Physical Meaning	Value
<i>m</i> [kg]	Car mass	1500
$n_w$ [-]	# of driving wheel	2
<i>R</i> [m]	Effective wheel radius	0.2159
$\sigma_b$	Bank angle	0
$\rho$ [kg/m <sup>3</sup> ]	Air density	1.225
$C_d$ [-]	Air drag coefficient	0.389
$A_F$	Front area	2
$\eta_D[\%]$	Propulsion efficiency	100
$T_d[ms]$	Propulsion time constant	300
$K_p$ [-]	Proportion gain	50
$K_i$ [-]	Integral gain	300



**Fig. 6.** Snapshot of the requested power for Dataset #5 (Top) and #6 (Bottom), corresponding to the reference speed shown in Fig. 4.

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Table 2

$$s_r = \frac{\omega R - v_x}{v_r},\tag{1}$$

0) 
$$F_a = \frac{1}{2} \rho C_d A_F v_x^2,$$
 (11)

with *R* being the wheel effective radius.

Finally, according to Ref. [40], the aerodynamic drag force  $F_a$  in (9) can be modeled by (assuming wind speed is 0):

where  $\rho$  is the air mass density,  $C_d$  is the aerodynamic drag coefficient,  $A_F$  is the effective front area.

Table 2				
EV mileage	with	identical	battery	cells.

Dataset #	1	2	3	4	5	6	7	8	9	10
Range [km]	255.779	235.578	254.18	230.965	269.174	264.181	341.252	248.474	247.146	327.22
Dataset #	11	12	13	14	15	16	17	18	19	20
Range [km]	345.675	243.91	239.922	224.956	352.101	259.714	277.437	260.626	272.358	293.817
Dataset #	21	22	23	24	25	26	27	28	29	30
Range [km]	251.793	261.05	206.57	285.894	321.649	309.173	343.951	329.553	340.009	244.328
Dataset #	31	32	33	34	35	36	37	38	39	40
Range [km]	240.794	269.979	245.388	308.388	232.254	254.82	413.544	275.641	242.289	284.615
Dataset #	41	42	43	44	45	46	47	48	49	50
Range [km]	260.849	235.987	252.991	285.85	245.982	326.779	231.37	228.897	231.36	258.609
Dataset #	51	52	53	54	55	56	57	58	59	60
Range [km]	231.291	360.541	396.609	362.02	265.874	256.521	231.76	242.509	357.009	264.379
Dataset #	61	62	63	64	65	66	67	68	69	70
Range [km]	262.585	302.167	255.735	326.335	233.714	338.026	250.941	252.596	342.117	277.04
Dataset #	71	72	73	74	75	76	77	78	79	80
Range [km]	269.195	233.934	281.388	301.175	252.483	255.535	259.798	251.881	294.1	302.19
Dataset #	81	82	83	84	85	86	87	88	89	90
Range [km]	231.779	445.843	270.621	266.908	262.342	340.762	248.765	257.622	281.693	282.283
Dataset #	91	92	93	94	95	96	97	98	99	100
Range [km]	265.028	284.842	248.087	278.365	293.719	255.467	254.011	240.684	230.937	244.27
Dataset #	101	102	103	104	105	106	107	108	109	110
Range [km]	234.187	254.8	253.562	293.461	395.408	248.1	391.27	323.578	308.322	240.079
Dataset #	111	112	113	114	115	116	117	118	119	120
Range [km]	350.525	280.213	306.69	250.522	262.86	242.944	302.686	374.982	358.618	253.068
Dataset #	121	122	123	124	125	-	-	-	-	-
Range [km]	399.29	238.187	273.975	242.9	248.115	-	-	-	-	-



Fig. 7. Range variation with respect to cell variation level.

The propulsion dynamic (electric motor, transmission, and final drive) is modeled as a first order transfer function, as follows,

$$G(s) = \frac{P_w}{P_b} = \frac{\eta_D}{T_d s + 1} \tag{12}$$

where  $P_b$  is the actual battery power and  $P_w$  is the actual power delivered at the wheel,  $\eta_D$  is the overall propulsion efficiency, and  $T_d$  represents the time constant of the entire propulsion system. Finally, the power  $P_w$  and driving wheel torque T at the wheel satisfy

$$P = T\omega. \tag{13}$$

Table 1 lists all the parameters for the propulsion and vehicle dynamic models used in this study.

## 5. Numerical analysis

#### 5.1. Nominal simulation

As benchmarking, we first run the simulation without any cell variation. In other words, 10 identical cells are used to simulate the battery pack, i.e., N = 10 and  $\mu_{\sigma} = \phi_{\sigma} = 0$  for all  $\sigma \in \{R_{o}, R_{1}, R_{2}, C_{1}, C_{2}, C\}$ . Note that N = 10 is selected to reduce simulation time. The output battery power from 10 cells is then scaled to meet the power request of a full size EV. In this case, the EV range for each trip is documented in Table 2 and plotted in the bottom half of Fig. 5. As can be seen, the nominal range varies from around 200 km to around 450 km, due to the impact of driving maneuvers. Such variation is a result of the wide spread of driving maneuvers included in the dataset and justifies the use of the selected dataset since it exposes the simulation to a variety of scenarios.

#### Table 3

EV Mileage Ratio For  $\varphi_C = 0.02$  and  $\varphi_C = 0.1$ 

#### 5.2. Impacts of cell variations

To evaluate the impact of cell variations (i.e., research question 1 and 2 above), simulations are conducted over several variation configurations. For each configuration, one (and only one) parameter  $\sigma \in \{R_o, R_1, R_2, C_1, C_2, C\}$  is selected to vary with normal distribution such that  $\mu_{\sigma} = 0$  and  $\phi_{\sigma} > 0$ , while the other parameters  $\sigma'$  are assumed to have 0 variation level (i.e.,  $\mu_{\sigma'} = \phi_{\sigma'} = 0$ ). With a slight abuse of notation, we use  $\phi_{\sigma}(x)$ , where  $\sigma \in \{R_o, R_1, R_2, C_1, C_2, C\}$  and  $x \in \{0.02, 0.04, 0.06, 0.08, 0.1\}$ , to denote the variation configuration where  $\sigma$  is selected to have a variation level  $\phi_{\sigma} = x$ . Therefore, there are a total number of 30 variation configurations (6 parameters, and 5 variation levels for each parameter). Moreover, cell balancing control is assumed to be absent in this study. In other words, the BMS in Fig. 3 only calculates pack current *I* and set  $u^1$ , ...,  $u^n$  all to 0. Note that similar to the nominal simulation, N = 10 is used to reduce simulation time. The battery output power is then scaled to meet the power request of a full size EV.

For each variation configuration  $\phi_{\sigma}(x)$ , all 125 trips are simulated. For  $k^{\text{th}}$  trip, denote the EV range at nominal condition (i.e., when  $\phi_{\sigma} = 0$  for all  $\sigma$ ) as  $m^{k}[0]$ , and denote the EV range for variation configuration  $\phi_{\sigma}(x)$  as  $m^{k}[\phi_{\sigma}(x)]$ . Then the range ratio on the  $k^{\text{th}}$  trip can then be calculated as the ratio between  $m^{k}[0]$  and  $m^{k}[\phi_{\sigma}(x)]$ , as follows.

$$r^{k}[\phi_{\sigma}(x)] = \frac{m^{k}[\phi_{\sigma}(x)]}{m^{k}[0]} \times 100\%.$$
(14)

Note that here  $r^{k}[\phi_{\sigma}(x)]$  quantifies the impact of the variation  $\phi_{\sigma}(x)$  on the EV range on  $k^{\text{th}}$  trip. When  $r^{k}[\phi_{\sigma}(x)] > 100\%$ , the cell imbalance would increase the EV range and on the other hand, when  $r^{k}[\phi_{\sigma}(x)] < 100\%$ , the cell imbalance negatively impact the EV range by reducing it.

Dataset #	1	2	3	4	5	6	7	8	9	10
$r[\varphi_{C}(0.02)]$	98.87%	98.42%	98.32%	98.09%	98.82%	96.95%	99.48%	98.69%	97.82%	97.82%
$r[\varphi_{C}(0.1)]$	91.25%	94.08%	90.53%	89.74%	95.24%	91.49%	93.15%	90.17%	90.99%	85.01%
Dataset #	11	12	13	14	15	16	17	18	19	20
$r[\varphi_{C}(0.02)]$	98.57%	97.58%	97.69%	98.98%	98.68%	98.85%	98.05%	98.42%	98.68%	98.55%
$r[\varphi_{C}(0.1)]$	94.02%	93.82%	93.08%	92.42%	92.97%	92.44%	89.81%	92.77%	92.96%	94.04%
Dataset #	21	22	23	24	25	26	27	28	29	30
$r[\varphi_{C}(0.02)]$	98.32%	98.01%	99.06%	97.69%	99.61%	98.69%	97.88%	99.43%	99.18%	97.83%
$r[\varphi_{C}(0.1)]$	91.51%	94.09%	93.24%	88.52%	93.02%	90.77%	94.91%	92.23%	88.12%	94.48%
Dataset #	31	32	33	34	35	36	37	38	39	40
$r[\varphi_{C}(0.02)]$	98.86%	99.28%	98.50%	99.19%	98.05%	98.97%	99.55%	97.27%	98.12%	97.03%
$r[\varphi_{C}(0.1)]$	93.74%	91.19%	92.63%	95.79%	93.82%	90.87%	91.21%	84.89%	93.39%	92.04%
Dataset #	41	42	43	44	45	46	47	48	49	50
$r[\varphi_{C}(0.02)]$	98.95%	99.20%	97.54%	98.32%	98.33%	99.73%	98.40%	99.72%	98.09%	98.89%
$r[\varphi_{C}(0.1)]$	92.94%	92.37%	90.28%	91.76%	92.33%	93.89%	94.73%	92.41%	93.68%	92.13%
Dataset #	51	52	53	54	55	56	57	58	59	60
$r[\varphi_{C}(0.02)]$	98.69%	98.19%	99.10%	95.98%	97.40%	98.82%	98.51%	97.83%	99.21%	98.67%
$r[\varphi_{C}(0.1)]$	92.07%	92.72%	94.55%	84.03%	93.07%	88.43%	87.81%	91.12%	96.29%	91.52%
Dataset #	61	62	63	64	65	66	67	68	69	70
$r[\varphi_{C}(0.02)]$	99.37%	98.43%	98.47%	99.19%	98.07%	99.14%	97.68%	98.74%	98.47%	98.80%
$r[\varphi_{C}(0.1)]$	95.99%	96.24%	96.97%	95.33%	89.79%	93.74%	90.59%	92.14%	94.12%	96.10%
Dataset #	71	72	73	74	75	76	77	78	79	80
$r[\varphi_{C}(0.02)]$	98.45%	98.77%	98.63%	98.11%	98.77%	98.51%	98.42%	98.46%	98.81%	98.92%
$r[\varphi_{C}(0.1)]$	90.88%	94.17%	94.92%	92.38%	90.52%	93.78%	93.98%	91.99%	91.21%	94.15%
Dataset #	81	82	83	84	85	86	87	88	89	90
$r[\varphi_{C}(0.02)]$	98.05%	98.32%	98.39%	98.16%	98.56%	99.37%	98.65%	98.04%	98.39%	98.30%
$r[\varphi_{C}(0.1)]$	88.65%	93.80%	93.47%	91.14%	92.06%	94.33%	90.99%	89.44%	92.36%	95.35%
Dataset #	91	92	93	94	95	96	97	98	99	100
$r[\varphi_{C}(0.02)]$	99.07%	97.62%	98.28%	99.35%	98.68%	98.33%	99.34%	99.19%	99.08%	98.22%
$r[\varphi_{C}(0.1)]$	93.33%	90.44%	93.12%	92.87%	92.09%	94.86%	93.42%	90.91%	93.96%	92.06%
Dataset #	101	102	103	104	105	106	107	108	109	110
$r[\varphi_{C}(0.02)]$	97.72%	97.69%	98.48%	98.67%	98.70%	97.85%	98.43%	99.11%	98.25%	98.90%
$r[\varphi_{C}(0.1)]$	94.06%	90.76%	93.96%	92.79%	95.02%	89.57%	94.22%	94.44%	92.05%	93.17%
Dataset #	111	112	113	114	115	116	117	118	119	120
$r[\varphi_{C}(0.02)]$	98.57%	98.67%	98.86%	96.93%	97.58%	98.24%	98.76%	98.85%	99.42%	98.63%
$r[\varphi_{C}(0.1)]$	95.27%	93.21%	95.34%	86.94%	92.33%	96.95%	94.74%	92.59%	95.60%	90.63%
Dataset #	121	122	123	124	125	-	-	-	-	-
$r[\varphi_{C}(0.02)]$	99.01%	97.30%	98.57%	98.21%	97.97%	-	-	-	-	-
$r[\varphi_{C}(0.1)]$	96.15%	89.51%	92.59%	92.36%	88.74%	-	-	-	-	-

Fig. 7 plots the distribution (as box plot) of range ratio { $r^k$ , k = 1, 2, ..., 125} for each variation configuration. It can be seen that, while the range of individual trip is impacted by variations among  $R_o$ ,  $C_1$ ,  $R_1$ ,  $C_2$ , and  $R_2$ , the average ratio remains 100%, indicating the average EV range seems not to be impacted by these parameter variations. On the other hand, the variation in cell capacity *C* seems to have the largest influence on EV range. Specifically, for  $\phi_C(0.02)$  configuration, the average range ratio is 98.48%, while this ratio drops to 92.47% for  $\phi_C(0.1)$ . Moreover, for all  $\phi_C$  configurations, all trips have a reduced range as  $r^k < 100\%$  for all  $\phi_C(x)$ ,  $x \in \{0.02, 0.04, 0.06, 0.08, 0.1\}$ . Finally, details on each trip range ratio in Table 3 and plotted in Fig. 8.

To understand how the variation level  $\phi_C$  impacts the EV range, Fig. 9 plots the average range ratio as with respect to  $\phi_C$ , together with a 3<sup>rd</sup> order polynomial fit. Note that the 3<sup>rd</sup> fit has an average error of 0.1264%, indicating that the impact of  $\phi_C$  on EV range is nonlinear.

#### 5.3. Discussion

Based on Fig. 7, it is clear that the variations on  $R_1$ ,  $C_1$ ,  $R_2$ ,  $C_2$  and  $R_o$  do not impact EV range, though for each trip there can be up to  $\pm 0.5\%$  range difference due to different dynamic behavior. This aligns with intuition behind ECM, in which  $C_1$  and  $C_2$  only impacts the cell transient



Fig. 8. Range ratio for variation levels of 0.02 and 0.1 for cell capacity C.





behavior and do not influence its steady state behavior. Furthermore, the capacitors, as used in ECM, are assumed to have zero energy loss. On the other hand, though the variations of  $R_1$ ,  $R_2$ , and  $R_o$  do change the DC resistance of each individual cell, the overall DC resistance of the battery pack is not impacted, since  $\mu_{\sigma} = 0$  for  $\sigma \in \{R_1, R_2, R_o\}$ .

Moreover, according to Fig. 7, it is evident that the variation of cell capacity C has the largest influence on EV range. This is also reasonable considering that we only simulate series connection, and the overall Amp-Hour capacity of a series string is mainly determined by the lowest cell capacity.

The results presented here can be very helpful for control design. Note that in literature [11,13,25], active balancing control has been designed and evaluated by assuming all cell parameters are heterogeneous, which can unnecessarily increase control complexity. According to results presented here, only cell capacity variation needs to be incorporated into control design. Furthermore, since all the other parameters do not impact EV range in statistical sense, there is no need to online estimate their variation level, hence reducing the control complexity even further.

Finally, as described by (1), cell temperatures are considered as states of cells, as opposed to parameters. Therefore, their variations are not treated in the same way as cell parameters. In fact, when cell parameters variation level change, the evolution of temperature is different as well. Therefore, the variation of temperature, which comes from the change of model parameters, does impact the EV range variations. In the future, we would also investigate the impact of environment temperature on EV range variation.

## 6. Conclusion

This paper focuses on investigating the battery cell imbalance and its impact on electric vehicles range. Existing work has been mainly focused on control design to mitigate the impact of cell imbalance, yet a formal quantification of this impact is missing. To address this issue, we construct a simulation environment that utilizes real-world driving speed data, an electric vehicles propulsion model, a longitudinal vehicle dynamic model, and equivalent circuit models for battery cell and pack dynamics. Simulation results suggest that for most parameters, their zero-mean variations do not impact the overall electric vehicles range, and the most influence factor is cell capacity, which can lead to nonlinear range reduction. Future work include (1) incorporating prior control design [25] into the developed simulation framework, (2) improving the model predictive control of [25] by online estimating the variation level of cell capacity, and (3) benchmarking the balancing control performance by investigating impulsive control strategy, representative driving cycle generation [43], and collaborative control with other energy entities [44,45].

#### **Declaration of Competing Interest**

The authors declare the following:

All authors have participated in (a) conception and design, or analysis and interpretation of the data; (b) drafting the article or revising it critically for important intellectual content; and (c) approval of the final version.

This manuscript has not been submitted to, nor is under review at, another journal or other publishing venue.

The authors have no affiliation with any organization with a direct or indirect financial interest in the subject matter discussed in the manuscript.

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